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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ezra U. Pagel, Daniel N. Duncan, Thomas Miller
Assignee: Austin Logistics Incorporated
Title: System and Method for Updating Contact Records
Serial No.: 10/776,775 Filed: February 9, 2004
Examiner: Unknown Group Art Unit: 2642
Docket No.: ALI04001 Customer No. 33438

Austin, Texas
July 19, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT


Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call the documents, provided by Thrasher Associates LLC as set forth in Exhibit "A" attached hereto, as set forth on the PTO Form 1449 to the attention of the Examiner.


Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 502264.

I hereby certify that this correspondence is being deposited with the USPS addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 19, 2004.	
 Attorney for Applicant(s)	<u>19 JUL 2004</u> Date of Signature

Respectfully submitted,


Robert W. Holland
Attorney for Applicant(s)
Reg. No. 40,020

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Robert W. Holland
Hamilton & Terrile, LLP
8911 N. Capital of Texas Highway
Westech Center Suite 3150
Austin, Texas 78759
15 June 2004

Dear Mr. Holland:

While reviewing Austin Logistics (or, ALI) patents and pending publications during the trademark case ALI filed against Positive Software Systems, Inc (PSS or Positive Software), there were discovered a number of pieces of prior art that appear to read upon ALI patents and pending patent applications. As a friendly gesture to ALI, Positive Software has gathered these documents and lists them for you in the attached Appendix. In addition, copies of these documents are being delivered via US Mail, and should arrive at your office shortly.

Of course, this search is not exhaustive, and ALI cannot rely on these search results as being exhaustive of all prior art or as an admission by Positive Software that anything not on the attached list is not prior art. However, it is believed that upon reviewing these enclosed documents (many of which you may already be aware of), ALI may more substantially comply with the USPTO Duty of Disclosure.¹ In addition, this duty is not limited to patent applications, and includes many topics beyond the scope of Positive Software's search.²

If you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in dark ink, appearing to read 'S. Thrasher'.

Steven Thrasher

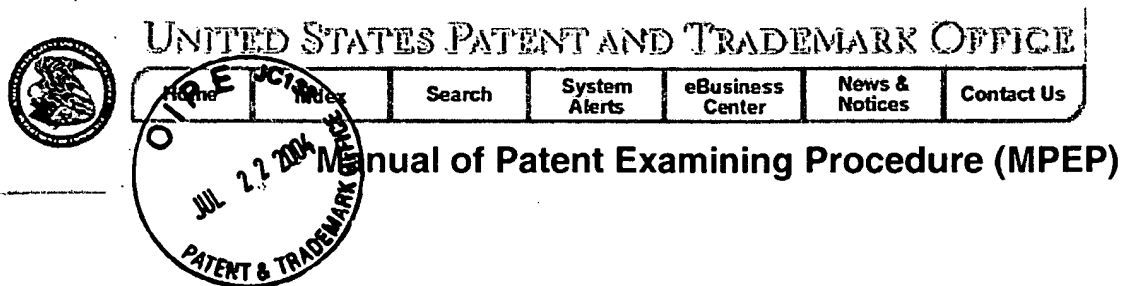
/leb
encl:

EXHIBIT "A"

¹ See 37 CFR 1.56 (copy enclosed)

² See Aids to Compliance with Duty of Disclosure:

http://www.uspto.gov/web/offices/pac/mpep/documents/2000_2004.htm (copy enclosed)



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2004 Aids to Compliance With Duty of Disclosure - 2000 Duty of Disclosure

2004 Aids to Compliance With Duty of Disclosure

While it is not appropriate to attempt to set forth procedures by which attorneys, agents, and other individuals may ensure compliance with the duty of disclosure, the items listed below are offered as examples of possible procedures which could help avoid problems with the duty of disclosure. Though compliance with these procedures may not be required, they are presented as helpful suggestions for avoiding duty of disclosure problems.

1. Many attorneys, both corporate and private, are using letters and questionnaires for applicants and others involved with the filing and prosecution of the application and checklists for themselves and applicants to ensure compliance with the duty of disclosure. The letter generally explains the duty of disclosure and what it means to the inventor and assignee. The questionnaire asks the inventor and assignee questions about

— the origin of the invention and its point of departure from what was previously known and in the prior art,

— possible public uses and sales,

— prior publication, knowledge, patents, foreign patents, etc.

The checklist is used by the attorney to ensure that the applicant has been informed of the duty of disclosure and that the attorney has inquired of and cited material prior art.

The use of these types of aids would appear to be most helpful, though not required, in identifying prior art and may well help the attorney and the client avoid or more easily explain a potentially embarrassing and harmful "fraud" allegation.

2. It is desirable to ask questions about inventorship. Who is the proper inventor? Are there disputes or possible disputes about inventorship? If there are questions, call them to the attention of the U.S. Patent and Trademark Office.

3. It is desirable to ask questions of the inventor about the disclosure of the best mode. Make sure that the best mode is described. See MPEP § 2165 - § 2165.04.

4. It is desirable for an attorney or agent to make certain that the inventor, especially a foreign inventor, recognizes his or her responsibilities in signing the oath or declaration. See 37 CFR 1.69(a).

37 CFR 1.69 Foreign language oaths and declarations.

(a) Whenever an individual making an oath or declaration cannot understand English, the oath or declaration must be in a language that such individual can understand and shall state that such individual understands the content of any documents to which the oath or declaration relates.

Note MPEP § 602.06 for a more detailed discussion.

5. It is desirable for an attorney or agent to carefully evaluate and explain to the applicant and others involved the scope of the claims, particularly the broadest claims. Ask specific questions about possible prior art which might be material in reference to the broadest claim or claims. There is some tendency to mistakenly evaluate prior art in the light of the gist of what is regarded as the invention or narrower interpretations of the claims, rather than measuring the art against the broadest claim with all of its reasonable interpretations. It is desirable to pick out the broadest claim or claims and measure the materiality of prior art against a reasonably broad interpretation of these claims.

6. It may be useful to evaluate the materiality of prior art or other information from the viewpoint of whether it is the closest prior art or other information. This will tend to put the prior art or other information in better perspective. See *Semiconductor Energy Laboratory Co. v. Samsung Electronics Co.*, 204 F.3d 1368, 1374, 54 USPQ2d 1001, 1005 (Fed. Cir. 2000) ("A withheld reference may be highly material when it discloses a more complete combination of relevant features, even if those features are before the patent examiner in other references." (citations omitted)). However, 37 CFR 1.56 may still require the submission of prior art or other information which is not as close as that of record.

7. Care should be taken to see that prior art or other information cited in a specification or in an information disclosure statement is properly described and that the information is not incorrectly or incompletely characterized. It is particularly important for an attorney or agent to review, before filing, an application which was prepared by someone else, e.g., a foreign application. It is also important that an attorney or agent make sure that foreign clients, including foreign applicants, attorneys, and agents understand the requirements of the duty of disclosure, and that the U.S. attorney or agent review any information disclosure statements or citations to ensure that compliance with 37 CFR 1.56 is present. See *Semiconductor Energy Laboratory Co. v. Samsung Electronics Co.*, 204 F.3d 1368, 54 USPQ2d 1001 (Fed. Cir. 2000). During prosecution patentee submitted an untranslated 29-page Japanese reference as well as a concise explanation of its relevance and an existing one-page partial English translation, both of which were directed to less material portions of the reference. The

untranslated portions of the Japanese reference "contained a more complete combination of the elements claimed [in the patent] than anything else before the PTO." 204 F.3d at 1374, 54 USPQ2d at 1005. The patentee, whose native language was Japanese, was held to have understood the materiality of the reference. "The duty of candor does not require that the applicant translate every foreign reference, but only that the applicant refrain from submitting partial translations and concise explanations that it knows will misdirect the examiner's attention from the reference's relevant teaching." 204 F.3d at 1378, 54 USPQ2d at 1008. See also *Gemveto Jewelry Co. v. Lambert Bros., Inc.*, 542 F. Supp. 933, 216 USPQ 976 (S.D.N.Y. 1982) wherein a patent was held invalid or unenforceable because patentee's foreign counsel did not disclose to patentee's United States counsel or to the Office prior art cited by the Dutch Patent Office in connection with the patentee's corresponding Dutch application. The court stated, 542 F. Supp. at 943, 216 USPQ at 985:

Foreign patent attorneys representing applicants for U.S. patents through local correspondent firms surely must be held to the same standards of conduct which apply to their American counterparts; a double standard of accountability would allow foreign attorneys and their clients to escape responsibility for fraud or inequitable conduct merely by withholding from the local correspondent information unfavorable to patentability and claiming ignorance of United States disclosure requirements.

8. Care should be taken to see that inaccurate statements or inaccurate experiments are not introduced into the specification, either inadvertently or intentionally. For example, stating that an experiment "was run" or "was conducted" when in fact the experiment was not run or conducted is a misrepresentation of the facts. No results should be represented as actual results unless they have actually been achieved. Paper examples should not be described using the past tense. See MPEP § 608.01(p) and § 707.07(I). Also, misrepresentations can occur when experiments which were run or conducted are inaccurately reported in the specification, e.g., an experiment is changed by leaving out one or more ingredients. See *Steierman v. Connelly*, 192 USPQ 433 (Bd. Pat. Int. 1975); 192 USPQ 446 (Bd. Pat. Int. 1976).

9. Do not rely on the examiner of a particular application to be aware of other applications belonging to the same applicant or assignee. It is desirable to call such applications to the attention of the examiner even if there is only a question that they might be "material to patentability" of the application the examiner is considering. It is desirable to be particularly careful that prior art or other information in one application is cited to the examiner in other applications to which it would be material. Do not assume that an examiner will necessarily remember, when examining a particular application, other applications which the examiner is examining, or has examined. See *Armour & Co. v. Swift & Co.*, 466 F.2d 767, 779, 175 USPQ 70, 79 (7th Cir. 1972); *KangaROOS U.S.A., Inc. v. Caldor, Inc.*, 585 F. Supp. 1516, 1522, 1528-29, 222 USPQ 703, 708, 713-14 (S.D. N.Y. 1984), *vacated and remanded*, 778 F.2d 1571, 228 USPQ 32 (Fed. Cir. 1985).

While vacating the summary judgment and remanding for trial in *KangaROOS*, the Court of Appeals for the Federal Circuit stated that a "lapse on the part of the examiner does not excuse the applicant." 778 F.2d at 1576, 228 USPQ at 35.

10. When in doubt, it is desirable and safest to submit information. Even though the attorney, agent, or applicant doesn't consider it necessarily material, someone else may see it differently and embarrassing questions can be avoided. The court in *U.S. Industries v. Norton Co.*, 210 USPQ 94, 107 (N.D. N.Y. 1980) stated "In short, the question of relevancy in close cases,

should be left to the examiner and not the applicant." See also *LaBounty Mfg., Inc. v. U.S. Int'l Trade Comm'n*, 958 F.2d 1066, 22 USPQ2d 1025 (Fed. Cir. 1992).

11. It may be desirable to submit information about prior uses and sales even if it appears that they may have been experimental, not involve the specifically claimed invention, or not encompass a completed invention. See *Hycor Corp. v. The Schlueter Co.*, 740 F.2d 1529, 1534-37, 222 USPQ 553, 557-559 (Fed. Cir. 1984). See also *LaBounty Mfg., Inc. v. U.S. Int'l Trade Comm'n*, 958 F.2d 1066, 22 USPQ2d 1025 (Fed. Cir. 1992).

12. Submit information promptly. An applicant, attorney, or agent who is aware of prior art or other information and its significance should submit same early in prosecution, e.g., before the first action by the examiner, and not wait until after allowance. Potentially material information discovered late in the prosecution should be immediately submitted. That the issue fee has been paid is no reason or excuse for failing to submit information. See *Elmwood Liquid Products, Inc. v. Singleton Packing Corp.*, 328 F. Supp. 974, 170 USPQ 398 (M.D. Fla. 1971).

13. It is desirable to avoid the submission of long lists of documents if it can be avoided. Eliminate clearly irrelevant and marginally pertinent cumulative information. If a long list is submitted, highlight those documents which have been specifically brought to applicant's attention and/or are known to be of most significance. See *Penn Yan Boats, Inc. v. Sea Lark Boats, Inc.*, 359 F. Supp. 948, 175 USPQ 260 (S.D. Fla. 1972), *aff'd*, 479 F.2d 1338, 178 USPQ 577 (5th Cir. 1973), *cert. denied*, 414 U.S. 874 (1974). But cf. *Molins PLC v. Textron Inc.*, 48 F.3d 1172, 33 USPQ2d 1823 (Fed. Cir. 1995).

14. Watch out for continuation-in-part applications where intervening material information or documents may exist; particularly watch out for foreign patents and publications related to the parent application and dated more than 1 year before the filing date of the CIP. These and other intervening documents may be material information. See *In re Ruscetta*, 255 F.2d 687, 690-91, 118 USPQ 101, 104 (CCPA 1958); *In re van Lagenhoven*, 458 F.2d 132, 173 USPQ 426 (CCPA 1972); *Chromalloy American Corp. v. Alloy Surfaces Co.*, 339 F. Supp. 859, 173 USPQ 295 (D. Del. 1972).

15. Watch out for information that might be deemed to be prior art under 35 U.S.C. 102(f) and (g).

Prior art under 35 U.S.C. 102(f) may be available under 35 U.S.C. 103. See *OddzOn Products, Inc. v. Just Toys, Inc.*, 122 F.3d 1396, 1401, 43 USPQ2d 1641, 1644 (Fed. Cir. 1997)(35 U.S.C. "102(f) is a prior art provision for purposes of § 103"); *Dale Electronics v. R.C.L. Electronics*, 488 F.2d 382, 386, 180 USPQ 225, 227 (1st. Cir. 1973); and *Ex parte Andresen*, 212 USPQ 100, 102 (Bd. App. 1981).

Note also that evidence of prior invention under 35 U.S.C. 102(g) may be available under 35 U.S.C. 103, such as in *In re Bass*, 474 F.2d 1276, 177 USPQ 178 (CCPA 1973).

Note 35 U.S.C. 103(c) disqualifies 35 U.S.C. 102(f)/103 or 102(g)/103 prior art which was, at the time the second invention was made, owned by or subject to an obligation of assignment to, the person who owned the first invention. Further note that 35 U.S.C. 103(c) disqualifies 35 U.S.C. 102(e)/ 103 prior art for applications filed on or after November 29, 1999. See MPEP § 706.02(I) - § 706.02(I)(2).

16. Watch out for information picked up by the inventors and others at conventions, plant visits,

in-house reviews, etc. See, for example, *Dale Electronics v. R.C.L. Electronics*, 488 F.2d 382, 386-87, 180 USPQ 225, 228 (1st Cir. 1973).

17. Make sure that all of the individuals who are subject to the duty of disclosure, such as spelled out in 37 CFR 1.56, are informed of and fulfill their duty.

18. Finally, if information was specifically considered and discarded as not material, this fact might be recorded in an attorney's file or applicant's file, including the reason for discarding it. If judgment might have been bad or something might have been overlooked inadvertently, a note made at the time of evaluation might be an invaluable aid in explaining that the mistake was honest and excusable. Though such records are not required, they could be helpful in recalling and explaining actions in the event of a question of "fraud" or "inequitable conduct" raised at a later time.

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§ 1.56 Duty to disclose information material to patentability. - PATENT RULES

§ 1.56 Duty to disclose information material to patentability.

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

(1) It establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of a claim; or

(2) It refutes, or is inconsistent with, a position the applicant takes in:

(i) Opposing an argument of unpatentability relied on by the Office, or

(ii) Asserting an argument of patentability.

A *prima facie* case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

(c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

(1) Each inventor named in the application;

(2) Each attorney or agent who prepares or prosecutes the application; and

(3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.

(d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.

(e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

[42 FR 5593, Jan. 28, 1977; paras. (d) & (e) - (i), 47 FR 21751, May 19, 1982, effective July 1, 1982; para. (c), 48 FR 2710, Jan. 20, 1983, effective Feb. 27, 1983; paras. (b) and (j), 49 FR 554, Jan. 4, 1984, effective Apr. 1, 1984; paras. (d) and (h), 50 FR 5171, Feb. 6, 1985, effective Mar. 8, 1985; para. (e), 53 FR 47808, Nov. 28, 1988, effective Jan. 1, 1989; 57 FR 2021, Jan. 17, 1992, effective Mar. 16, 1992; para. (e) added, 65 FR 54604, Sept. 8, 2000, effective Nov. 7, 2000]

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1	6,473,505	Call processing system for handling calls to a call center.
2	6,459,788	Call center resource processor.
3	6,314,177	Communications handling center and communications forwarding method-using agent attributes.
4	5,878,130	Communications system and method for operating same.
5	5,848,143	Communications system using a central controller to control at least one network and agent system.
6	6,587,557	System and method of distributing outbound telephony services over a computer network.
7	5,926,539	Method and apparatus for determining agent availability based on level of uncompleted tasks.
8	6,570,975	Automated telecommunications call processing method.
9	6,570,976	Voice-data telephonic interface control system.
10	2002, 0010645A1	Backend commerce engine.
11	6,301,354	Method and apparatus for entertaining callers in a queue.
12	2001, 0000,458A1	Method for estimating telephony system-queue waiting time in an agent level routing environment.
13	6,014,439	Method and apparatus for entertaining callers in a queue.
14	5,825,870	Methods and apparatus for implementing a network call center.
15	5,479,487	Caller center employing unified control system.

1	6,385,302	System and Method for handling special number calls using on-demand answering stations
2	6,208,721	Method and apparatus for identifying telephone callers who have been unsuccessful in reaching a called destination.
3	6,088,442	Automatic wireless alerting on an automatic call distribution center.
4	6,064,731	Arrangement for improving retention of call center's customers.
5	6,064,730	Customer-self routing call center
6	5,903,877	Transaction center for processing customer transaction requests from alternative media sources.
7	5,757,904	Context-sensitive presentation of information to call-center agents.
8	5,751,795	Broadcasting of information through telephone switching system display messages.
9	5,740,238	Method and apparatus for queuing a call to the best backup split.
10	5,721,770	Agent vectoring programmably conditionally assigning agents to various tasks including tasks other than handling of waiting calls.
11	6,459,774	Structured voicemail messages.
12	6,240,391	Method and apparatus for assembling and presenting structured voicemail messages.
13	5,915,003	Sketching unit for transmission of sketches and notes over normal telephone lines.
14	5,537,436	Simultaneous analog and digital communication applications.
15	5,448,555	Simultaneous analog and digital communication.
16	6,535,601	Skill-value queuing in a call center.
17	6,404,747	Integrated audio and video agent system in an automatic call distribution environment.
18	6,389,132	Multi-tasking, web-based call center.
19	6,377,944	Web response unit including computer network based communication.
20	6,366,666	Adjustment of call selection to achieve target values for interval-based performance metrics in a call center.

21	6,359,982	Methods and apparatus for determining measures of agent-related occupancy in a call center.
22	6,356,632	Call selection and agent selection in a call center based on agent staffing schedule.
23	6,038,302	Methods and apparatus for processing phantom calls placed via computer-telephony. (CTI)
24	6,470,077	Apparatus and method for storage and accelerated playback of voice samples in a call center.
25	6,272,544	Dynamically assigning priorities for the allocation of server resources to completing classes of work based upon achievement of server level goals.
26	6,272,216	Customer self-routing call center.
27	6,256,299	Automatic service provider notification of unauthorized terminal activity.
28	6,163,606	System for providing virtual called party identification in a voice mail system.
29	6,064,731	Arrangement for improving retention of call center's customers.
30	6,052,460	Arrangement for equalizing levels of service among skills.
31	5,717,747	Arrangement for facilitating plug-and-play call features.
32	5,499,291	Arrangements for automating call-center agent-schedule-notification and schedule-adherence functions.
33	6,587,545	System for providing expanded emergency service communication in a telecommunication network
34	6,542,156	Telephone call center monitoring system with integrated three-dimensional display of multiple split activity data.
35	6,480,484	Internet-intranet greeting service.
36	6,463,346	Workflow-scheduling optimization driven by target completion time.
37	2002,0073155A1	Methods and apparatus for enabling shared web-based interaction in stateful servers.
38	6,349,205	Method for converting an existing subscriber to a wireless communications system.

39	6,208,721	Method and apparatus for identifying telephone callers who have been unsuccessful in reaching a called destination.
40	6, 188,673	Using web page hit statistics to anticipate call center traffic.
41	6,088,441	Arrangement for equalizing levels of service among skills.
42	6,064,730	Customer-self routing call center.
43	6,061,442	Method and apparatus for improved call control scheduling in a distributed system with dissimilar call processors.
44	5,930,337	Dynamic message-mailbox size variation.
45	5,751,795	Broadcasting of information through telephone switching system display messages.
46	5,533,108	Method and system for routing phone calls based on voice and data transport capability.
47	5,185,782	ACD arrangement for automatically returning a call at a time specified by the original caller.
48	2003,0099342A1	Method of billing in an abbreviated dialing service.
49	2003,0026409A1	Telephone call processing in an interactive voice response call management system.
50	6,314,177	Communications handling center and communications forwarding method-using agent attributes.
51	6,978,650	Telephone system integrated text based communication processes to enhance access for TDD and/or TTY devices.
52	6,002,749	Telephone system integrated text based communication apparatus and systems to establish communication links to TDD and/or TTY devices and other telephone and text server systems.
53	6,122,364	Internet network call center.
54	6,594,470	System and method for remote management of call center operations.
55	6,577,720	System and method for providing high-speed communications using a public terminal.
56	6,298,127	Call transfer and conference with separate billing records per log.
57	6,192,050	Method and apparatus for inquiry response via internet.
58	6,118,861	Calling party invoked held call monitoring.

59	6,070,012	Method and apparatus for upgrading software subsystems without interrupting service.
60	5,991,293	Circuit arrangement for providing internet connectivity to a computer in a key telephone system.
61	5,943,395	Telephone apparatus, systems, and processes to enhance access for TDD and/or TTY devices.
62	5,940,475	Telephone system integrated text based communication apparatus and system to enhance access for TDD and/or TTY devices.
63	5,933,476	TTY telephone display and related processes systems and apparatus.
64	6,549,769	System and method for integrating text messaging to an outbound call system.
65	6,404,747	Integrated audio and video agent system in an automatic call distribution environment.
66	6,389,132	Multi-tasking, web-based call center.
67	6,377,944	Web response unit including computer network based communication.
68	6,366,666	Adjustment of call selection to achieve target values for interval-based performance metrics in a call center.
69	6,535,601	Skill-value queuing in a call center.
70	6,560,649	Hierarchical service level remediation for competing classes based upon achievement of service level goals.
71	6,470,077	Apparatus and method for storage and accelerated playback of voice samples in a call center.
72	6,434,230	Rule-based queuing of calls to call-handling resources.
73	6,272,544	Dynamically6 assigning priorities for the allocation of server resources to completing classes of work based upon achievement of server level goals.
74	6,272,216	Customer self-routing call center.
75	6,256,299	Automatic service provider notification of unauthorized terminal activity.

76	6,542,156	Telephone call center monitoring system with integrated three-dimensional display of multiple split activity data.
77	6,480,484	Internet-intranet greeting service.
78	6,385,191	Extending internet calls to a telephony call center.
79	6,188,673	Using web page hit statistics to anticipate call center traffic.

1.	6,499,023	Data item evaluation based on the combination of multiple factors.
2.	6,408,066	ACD skill-based routing
3.	6,327,362	System and method including dynamic differential treatment in workflows and contact flow.
4.	6,009,162	Telecommunication feature for exchange of translation information between a computer and a telecommunication-switching machine.
5.	5,982,873	Waiting-call selection based on objectives.
6.	5,903,641	Automatic dynamic changing of agents' call-handling assignments.
7.	5,898,772	Logical PC agent.
8.	5,754,639	Method and apparatus for queuing a call to the best split.
9.	6,385,302	System and method for handling special number calls using on-demand answering stations.
10.	5,903,877	Transaction center for processing customer transaction requests from alternative media sources.
11.	5,661,718	Simultaneous analog and digital communication.
12.	5,444,774	Interactive queuing system for call enters.
13.	5,440,585	Applications of simultaneous analog and digital communication.
14.	6,327,362	System and method including dynamic differential treatment in workflows and contact flow.
15.	5,982,873	Waiting-call selection based on objectives.
16.	6,408,066	ACD skill-based routing.
17.	6,353,851	Method and apparatus for sharing asymmetric information and services in simultaneously viewed documents on a communication system.
18.	6,353,667	Minimum interruption cycle time threshold for reserve call center agents.
19.	6,256,381	System and method for identifying a data record associated with a transferred telephone call.
20.	6,233,332	System for context based media independent communications processing.

21.	5,905,793	Waiting-call selection based on anticipated wait times.
22.	5,898,772	Logical PC agent.
23.	5,509,055	Inbound telecommunications services resources management system.
24.	2003,0115545A1	Dynamic display of data item evaluation.
25.	6,499,023	Data item evaluation based on the combination of multiple factors.
26.	6,434,230	Rules-based queuing of calls to call-handling resources.
27.	6,226,377	Prioritized transaction server allocation.
28.	6,192,122	Call center agent selection that optimizes call wait times.
29.	6,173,053	Optimizing call-center performance by using predictive data to distribute calls among agents.
30.	6,163,607	Optimizing call-center performance by using predictive data to distribute agents among calls.
31.	6,009,162	Telecommunication feature for exchange of translation information between a computer and a telecommunication switching system.
32.	5,732,218	Management-data-gathering system for gathering on clients and servers data regarding interactions between the servers, the clients, and users of the clients during real use of a network of clients and servers.
33.	5,499,289	Systems, methods and articles of manufacture for performing distributed telecommunications.
34.	6,563,916	System for transmitting a change in call queued/hold state across a communications network.
35.	6,539,090	Generalized arrangement for routing telecommunications calls.
36.	6,502,133	Real-time event processing system with analysis engine using recovery information.
37.	6,496,831	Real-time event processing system for telecommunications and other applications.
38.	6,449,618	Real-time event processing system with subscription model.
39.	6,392,666	Telephone call center monitoring system allowing real-time display of summary views and interactively defined detailed views.
40.	6,385,191	Extending internet calls to a telephony call center.

41.	6,366,668	Method of routing calls in an automatic call distribution network.
42.	2001,0021646A1	System and method for routing special number calls in a telecommunication network.
43.	5,960,382	Translation of an initially unknown message.
44.	5,828,747	Call distribution based on agent occupancy.
45.	5,757,904	Context-sensitive presentation of information to call-center agents.
46.	5,574,781	Translation indicator for database-queried communication services.
47.	2002,0196277A1	Method and system for automating the creation of customer centric interfaces.
48.	6,526,397	Resource management facilitation.
49.	6,215,784	Method and system for voice call completion-using information retrieved from an open application on a computing machine.
50.	6,337,858	Method and apparatus for originating voice calls from a data network.
51.	6,181,776	Network management of automatic call distributor resources.
52.	6,091,808	Methods of and apparatus for providing telephone call control and information.
53.	6,430,174	Communication system supporting simultaneous voice and multimedia communications and method of operation therefore.
54.	5,987,115	Systems and methods for servicing calls by service agents connected via standard telephone lines.
55.	2002,0141561A1	Method and system for self-service scheduling of inbound inquiries.
56.	5,757,644	Voice interactive call center training method using actual screens and screen logic.
57.	5,867,559	Real-time, on-line, call verification system.
58.	6,587,557	System and method of distributing outbound telephony services over a computer network.
59.	6,539,538	Intelligent information routing system and method.

60.	6,480,601	Voice and data transfer from outbound dialing to inbound ACD queue.
61.	6,356,632	Call selection and agent selection in a call center based on agent staffing schedule.
62.	6,353,667	Minimum interruption cycle time threshold for reserve call center agents.
63.	6,359,982	Methods and apparatus for determining measures of agent-related occupancy in a call center.
64.	6,256,381	System and method for identifying a data record associated with a transferred telephone call.
65.	6,233,332	System for context based media independent communications processing.
66.	6,563,920	Methods and apparatus for processing of communications in a call center based on variable rest period determinations.
67.	6,560,330	Rules-based queuing of calls to call-handling resources.
68.	6,295,353	Arrangement for efficiently updating status information of a network call-routing system.
69.	6,292,550	Dynamic call vectoring.
70.	6,226,377	Prioritized transaction server allocation.
71.	6,192,122	Call center agent selection that optimizes call wait times.
72.	6,173,053	Optimizing call-center performance by using predictive data to distribute calls among agents.
73.	6,163,607	Optimizing call-center performance by using predictive data to distribute agents among calls.
74.	6,463,346	Workflow-scheduling optimization driven by target completion time.
75.	6,392,666	Telephone call center monitoring system allowing real-time display of summary views and interactively defined detailed views.
76.	6,366,668	Method of routing calls in an automatic call distribution network.

1. 2003,0120395A1 Method and system for managing vehicle control modules through telematics.
2. 2003,0013438A1 Pocket concierge system and method.
3. 6,449,341 Apparatus and method for managing a software system via analysis of call center trouble tickets.
4. 2001,0040887A1 Apparatus and methods enhancing call routing to and within call-centers.
5. 2001,0038624A1 Internet telephony for e-commerce.
6. 6,581,205 Apparatus and method for improving e-mail routing in an internet protocol network.
7. 6,563,788 Method and apparatus for call distribution and override with priority recognition and fairness.
8. 2003,0033382A1 Interactive communication system.
9. 2003,0021259A1 Apparatus and methods for coordinating internet protocol.
10. 2003,0001625A1 Communication assistance system and method.
11. 2003,0002654A1 Method and apparatus for providing fair access.
12. 2002,0194047A1 End-to-end service delivery (post-sale) process.
13. 2002,0169834A1 Apparatus and methods for routing electronic mail in a processing center.
14. 6,477,559 Method and apparatus for remotely accessing an automatic transaction processing system.
15. 2002,0131399A1 Queue prioritization based on competitive user input.
16. 6,445,788 Method and apparatus for providing fair access to agents in a communication center.
17. 2002,0101866A1 Method and apparatus for determining and using multiple object states in an intelligent internet protocol telephony network.
18. 6,205,412 Methods in computer simulation of telephony systems.
19. 6,188,762 Web call center/PSTN to TCPIP internet network.
20. 6,449,341 Apparatus and method for managing a software system via analysis of call center trouble tickets.

21.	6,385,646	Method and system for establishing voice communications in an internet environment.
22.	2003,0115353A1	Method and apparatus for extended management of state and interaction of a remote knowledge worker from a contact center.
23.	6,567,787	Method and apparatus for determining whether a verbal message was spoken during a transaction at a point-of-sale terminal.
24.	6,549,769	System and method for integrating text messaging to an outbound call system.
25.	2002,0194272A1	Method for establishing a communication between two or more users via a network of interconnected computers.
26.	2002,0101854A1	Remote media control for voice over internet telephony and related applications.
27.	5,982,873	Waiting-call selection based on objectives.
28.	6,584,439	Method and apparatus for controlling voice controlled devices.
29.	6,574,605	Method and system for strategic services enterprise workload management.
30.	6,571,240	Information processing for searching categorizing information in a document based on a categorization hierarchy and extracted phrases.
31.	6,563,920	Methods and apparatus for processing of communications in a call center based on variable rest period determinations.
32.	2003,0088660A1	Techniques for load distribution processing for call centers and other processing systems.
33.	2003,0021259A1	Apparatus and methods for coordinating internet protocol telephone and data communications.
34.	6,512,415	telephonic-interface game control system.
35.	2003,0007612A1	Method and apparatus for recording and automated playback of personal agent greetings in a communication-center environment.
36.	6,505,183	Human resource knowledge modeling and delivery system.
37.	6,501,937	Learning method and system based on questioning.
38.	6,498,921	Method and system to answer a natural-language question.
39.	6,493,447	Contact server for call center for synchronizing simultaneous telephone calls and TCP/IP communications.

40. 2002,0183072A1 Beyondguide TM method and system.
41. 6,480,698 Learning method and system based on questioning.
42. 6,473,404 Multi-protocol telecommunications routing optimization.
43. 2003,0120395A1 Method and system for managing vehicle control modules through telematics.
44. 2003,0013438A1 Pocket concierge system and method.
45. 6,449,341 Apparatus and method for managing a software system via analysis of call center trouble tickets.
46. 2001,0040887A1 Apparatus and methods enhancing call routing to and within call-centers.
47. 2001,0038624A1 Internet telephony for e-commerce.
48. 6,581,105 Apparatus and method for improving e-mail routing in an internet protocol network telephony call-in center.
49. 6,563,788 Method and apparatus for call distribution and override with priority recognition and fairness timing routines.
50. 2003,0033382A1 Interactive communication system.
51. 2003,0021259A1 Apparatus and methods for coordinating internet protocol.
52. 2003,0007625A1 Communication assistance system and method.
53. 2003,0002654A1 Method and apparatus for providing fair access to agents in a communication center.
54. 2002,0194047A1 End-to-end service delivery (post-sale) process.
55. 2002,0169834A1 Apparatus and methods for routing electronic mail in a processing center.
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66.	6,549,769	System and method for integrating text messaging to an outbound call system.
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68.	2002,0101854A1	Remote media control for voice over internet telephony and related applications.
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71.	6,574,605	Method and system for strategic services enterprise workload management.
72.	6,571,240	Information processing for searching categorizing information in a document based on a categorization hierarchy and extracted phrases.
73.	6,563,920	Methods and apparatus for processing of communications in a call center based on variable rest period determinations.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s)			
(Use several sheets, if necessary)					Ezra U. Pagel, et al.			
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					February 9, 2004		2642	

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	AA	5,185,782	Feb. 9, 1993	Srinivasan	379	67	
	AB	5,440,585	Aug. 8, 1995	Partridge, III.	375	261	
	AC	5,444,774	Aug. 22, 1995	Friedes	379	266	
	AD	5,448,555	Sep. 5, 1995	Bremer et al.	270	20	
	AE	5,479,487	Dec. 26, 1995	Hammond	379	67	
	AF	5,499,289	Mar. 12, 1996	Bruno et al.	379	220	
	AG	5,499,291	Mar. 12, 1996	Kepley	379	265	
	AH	5,509,055	Apr. 16, 1996	Ehrlich et al.	379	133	
	AI	5,533,108	Jul. 2, 1996	Harris et al.	379	201	
	AJ	5,537,436	Jul. 16, 1996	Bottoms et al.	375	222	
	AK	5,574,781	Nov. 12, 1996	Blaze	379	220	
	AL	5,661,718	Aug. 26, 1997	Bremer et al.	370	207	
	AM	5,717,747	Feb. 10, 1998	Boyle, III et al.	379	201	
	AN	5,721,770	Feb. 24, 1998	Kohler	379	266	
	AO	5,732,218	Mar. 24, 1998	Bland et al.	395	200.54	
	AP	5,740,238	Apr. 14, 1998	Flockhart et al.	379	221	
	AQ	5,751,795	May 12, 1998	Hassler et al.	379	93.17	
	AR	5,754,639	May 19, 1998	Flockhart et al.	379	221	
	AS	5,757,644	May 26, 1998	Jorgensen et al.	364	188	
	AT	5,757,904	May 26, 1998	Anderson	379	265	
	AU	5,825,870	Oct. 20, 1998	Miloslavsky	379	265	Not listed?

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	AA	5,828,747	Oct. 27, 1998	Fisher et al.	379	309	
	AB	5,848,143	Dec. 8, 1998	Andrews et al.	379	219	
	AC	5,867,559	Feb. 2, 1999	Jorgensen et al.	379	67	
	AD	5,878,130	Mar. 2, 1999	Andrews et al.	379	265	
	AE	5,898,772	Apr. 27, 1999	Connors et al.	379	265	
	AF	5,903,641	May 11, 1999	Tonisson	379	266	
	AG	5,903,877	May 11, 1999	Berkowitz et al.	705	26	
	AH	5,905,793	May 18, 1999	Flockhart et al.	379	266	
	AI	5,915,003	Jun. 22, 1999	Bremer et al.	379	93.19	
	AJ	5,926,539	Jul. 20, 1999	Shtivelman	379	266	
	AK	5,930,337	Jul. 27, 1999	Mohler	379	88.22	
	AL	5,933,476	Aug. 3, 1999	Hansen et al.	379	52	
	AM	5,940,475	Aug. 17, 1999	Hansen	379	52	
	AN	5,943,395	Aug. 24, 1999	Hansen	379	52	
	AO	5,960,382	Sep. 28, 1999	Steiner	704	2	
	AP	5,982,873	Nov. 9, 1999	Flockhart et al.	379	266	
	AQ	5,987,115	Nov. 16, 1999	Petrunka et al.	379	265	
	AR	5,991,293	Nov. 23, 1999	Buchanan et al.	370	353	
	AS	6,002,749	Dec. 14, 1999	Hansen et al.	379	52	
	AT	6,009,162	Dec. 28, 1999	Bogart et al.	379	265	
	AU	6,014,439	Jan. 11, 2000	Walker et al.	379	266	

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	AB	6,038,302	Mar. 14, 2000	Burok et al.	379	201			
	AC	6,052,460	Apr. 18, 2000	Fisher et al.	379	266			
	AD	6,061,442	May 9, 2000	Bhat	379	269			
	AE	6,064,730	May 16, 2000	Ginsberg	379	265			
	AF	6,064,731	May 16, 2000	Flockhart et al.	379	265			
	AG	6,070,012	May 30, 2000	Eitner et al.	395	712			
	AA	6,078,650	Jun. 20, 2000	Hansen	379	52			
	AH	6,088,441	Jul. 11, 2000	Flockhart et al.	379	265			
	AI	6,088,442	Jul. 11, 2000	Chavez, Jr. et al.	379	265			
	AJ	6,091,808	Jul. 18, 2000	Wood et al.	379	201			
	AK	6,118,861	Sep. 12, 2000	Gutzmann et al.	379	201			
	AL	6,122,364	Sep. 19, 2000	Petrunka et al.	379	265			
	AM	6,163,606	Dec. 19, 2000	Otto	379	211			
	AN	6,163,607	Dec. 19, 2000	Bogart et al.	379	266			
	AO	6,173,053	Jan. 9, 2001	Bogart et al.	379	266			
	AP	6,181,776	Jan. 30, 2001	Crossley et al.	379	34			
	AQ	6,188,673	Feb. 13, 2001	Bauer et al.	370	252			
	AR	6,188,762	Feb. 13, 2001	Shooster	379	265			
	AS	6,192,050	Feb. 20, 2001	Stovall	370	389			
	AT	6,192,122	Feb. 20, 2001	Flockhart et al.	379	266			
	AU	6,205,412	Mar. 20, 2001	Barkiy et al.	703	13			
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	AB	6,215,784	Apr. 10, 2001	Petras et al.	370	356	
	AC	6,226,377	May 1, 2001	Donaghue, Jr.	379	265	
	AD	6,233,332	May 15, 2001	Anderson et al.	379	265	
	AE	6,240,391	May 29, 2001	Ball et al.	704	270	
	AF	6,256,299	Jul. 3, 2001	Chavez, Jr. et al.	370	329	
	AG	6,256,381	Jul. 3, 2001	Donoaghue, Jr.	379	265	
	AH	6,272,216	Aug. 7, 2001	Vaios	379	265	
	AI	6,272,544	Aug. 7, 2001	Mullen	709	226	
	AJ	6,292,550	Sep. 18, 2001	Burritt	379	201	
	AK	6,295,353	Sept. 25, 2001	Flockhart et al.	379	265	
	AL	6,298,127	Oct. 2, 2001	Petrunka	379	126	
	AM	6,301,354	Oct. 9, 2001	Walker et al.	379	266.01	
	AN	6,314,177	Nov. 6, 2001	Davis et al.	379	265.12	
	AO	6,327,362	Dec. 4, 2001	Hull et al.	379	265	
	AP	6,337,858	Jan. 8, 2002	Petty et al.	370	356	
	AQ	6,349,205	Feb. 19, 2002	Fang et al.	455	419	
	AR	6,353,667	Mar. 5, 2002	Foster et al.	379	265.05	
	AS	6,353,851	Mar. 5, 2002	Anupam et al.	709	204	

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	AB	6,359,982	Mar. 19, 2002	Foster et al.	379	266.06		
	AC	6,366,666	Apr. 2, 2002	Bengtson et al.	379	265.05		
	AD	6,366,668	Apr. 2, 2002	Borst et al.	379	266.04		
	AE	6,377,944	Apr. 23, 2002	Busey et al.	703	3		
	AF	6,385,191	May 7, 2002	Coffman et al.	370	352		
	AG	6,385,302	May 7, 2002	Antonucci et al.	379	45		
	AH	6,385,646	May 7, 2002	Brown et al.	709	217		
	AI	6,389,132	May 14, 2002	Price	379	265.01		
	AJ	6,392,666	May 21, 2002	Hong et al.	345	736		
	AK	6,404,747	Jun. 11, 2002	Berry et al.	370	270		
	AL	6,408,066	Jun. 18, 2002	Andruska et al.	379	265.15		
	AM	6,430,174	Aug. 6, 2002	Jennings et al.	370	352		
	AN	6,434,230	Aug. 13, 2002	Gabriel	379	265.01		
	AO	6,445,788	Sep. 3, 2002	Torba	379	266.08		
	AP	6,449,341	Sep. 10, 2002	Adams et al.	379	9		
	AQ	6,449,618	Sep. 10, 2002	Blott et al.	707	101		
	AR	6,459,774	Oct. 1, 2002	Ball et al.	379	67.1		
	AS	6,459,788	Oct. 1, 2002	Khuc et al.	379	265.09		
	AT	6,463,346	Oct. 8, 2002	Flockhart et al.	700	102		
	AU	6,470,077	Oct. 22, 2002	Chan	379	88.01		
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	AC	6,477,559	Nov. 5, 2002	Veluvali et al.	709	101		
	AD	6,480,484	Nov. 12, 2002	Morton	370	352		
	AE	6,480,601	Nov. 12, 2002	McLaughlin	379	265.11		
	AF	6,480,698	Nov. 12, 2002	Ho et al.	434	362		
	AG	6,493,447	Dec. 10, 2002	Goss et al.	379	265.09		
	AH	6,496,831	Dec. 17, 2002	Baulier et al.	707	101		
	AI	6,498,921	Dec. 24, 2002	Ho et al.	434	362		
	AJ	6,499,023	Dec. 24, 2002	Dong et al.	706	46		
	AK	6,501,937	Dec. 31, 2002	Ho et al.	434	362		
	AL	6,502,133	Dec. 31, 2002	Baulier et al.	709	224		
	AM	6,505,183	Jan. 7, 2003	Loofbourrow et al.	706	45		
	AN	6,512,415	Jan. 28, 2003	Katz	329	88.22		
	AO	6,526,397	Feb. 25, 2003	Chee et al.	701	1		
	AP	6,535,601	Mar. 18, 2003	Flockhart et al.	379	266.01		
	AQ	6,539,090	Mar. 25, 2003	Frey et al.	379	230		
	AR	6,539,538	Mar. 25, 2003	Brewster et al.	717	115		
	AS	6,542,156	Apr. 1, 2003	Hong et al.	345	440		
	AT	6,549,769	Apr. 15, 2003	Harder	455	418		
	AU	6,560,330	May 6, 2003	Gabriel	379	265.02		
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						ALI04001		10/776,775	
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(Use several sheets if necessary)						Ezra U. Pagel, et al.			
						Filing Date		Group	
						February 9, 2004		2642	
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	AA	6,560,649	May 6, 2003	Mullen et al.	709	226			
	AB	6,563,788	May 13, 2003	Torba et al.	370	229			
	AC	6,563,916	May 13, 2003	Deutsch et al.	379	215.01			
	AD	6,563,920	May 13, 2003	Flockhart et al.	379	265.01			
	AE	6,567,787	May 20, 2003	Walker et al.	705	16			
	AF	6,570,975	May 27, 2003	Shaffer et al.	379	220.01			
	AG	6,570,976	May 27, 2003	Asada et al.	379	2221.01			
	AH	6,571,240	May 27, 2003	Ho et al.	707	5			
	AI	6,574,605	Jun. 3, 2003	Sanders et al.	705	8			
	AJ	6,577,720	Jun. 10, 2003	Sutter	379	144.05			
	AK	6,581,105	Jun. 17, 2003	Miloslavsky et al.	709	238			
	AL	6,581,205	Jun. 17, 2003	Cochrane et al.	717	140			
	AM	6,584,439	Jun. 24, 2003	Geilhufe et al.	704	270			
	AN	6,587,545	Jul. 1, 2003	Antonucci et al.	379	37			
	AO	6,587,557	Jul. 1, 2003	Smith	379	265.01			
	AP	6,594,470	Jul. 15, 2003	Barnes et al.	455	67.7			
	AQ	2001,0000458A1	Apr. 26, 2001	Shtivelman et al.	370	412			
	AR	2001,0021646A1	Sep. 13, 2001	Antonucci et al.	455	404			
	AS	2001,0038624A1	Nov. 8, 2001	Greenberg et al.	370	352			
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	AU	2002,0010645A1	Jan. 24, 2002	Hagen et al.	705	26			
Foreign Patent Documents									
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	AB	2002,0101854A 1	Aug. 1, 2002	Siegrist et al.	370	352		
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	AE	2002,0141561A1	Oct. 3, 2002	Duncan et al.	379	220.01		
	AF	2002,0169834A1	Nov. 14, 2002	Miloslavsky et al.	709	206		
	AG	2002,0183072A1	Dec. 5, 2002	Steinbach et al.	455	456		
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	AI	2002,0194272A 1	Dec. 19, 2002	Zhu	709	204		
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	AK	2003,0001625A1	Jan. 2, 2003	Jaussi et al.	327	65		
	AL	2003,0002654A1	Jan. 2, 2003	Torba	379	266.08		
	AM	2003,0007612A1	Jan. 9, 2003	Garcia	379	88.18		
	AN	2003,0007625A1	Jan. 9, 2003	Pines et al.	379	223		
	AO	2003,0013438A1	Jan. 16, 2003	Darby	455	419		
	AP	2003,0021259A1	Jan. 30, 2003	Miloslavsky et al.	370	352		
	AQ	2003,0026409A1	Feb. 6, 2003	Bushey et al.	379	211.02		
	AR	2003,0033382A1	Feb. 13, 2003	Bogolea et al.	709	218		
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	AB	2003,0115545A1	Jun. 19, 2003	Hull et al.	715	500	
	AC	2003,0120395A1	Jun. 26, 2003	Kacel	701	1	
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